# Air Space R-Value

**Educational Overview Revised August 31, 2018** 





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### Introduction

- Airspaces contained within building envelope assemblies are known to contribute to thermal performance.
- But, the actual R-value for an airspace can vary by a factor of 3 or more depending on conditions of use.
- This presentation provides:
  - a means to properly characterize an airspace according to its use conditions
  - a method to specify or confirm an appropriate airspace R-value for energy code and FTC R-value Rule compliance.



### Scope

- This presentation applies to both reflective and non-reflective airspaces.
  - Note that reflective airspaces have greater potential R-values as well as a stronger dependency on airspace conditions.
- While the focus here is on airspaces in wall assemblies, the same principles apply elsewhere, such as in ceiling, roof, and floor applications.



### Technical Basis

- This presentation is based on a <u>Research Report</u> which:
  - Documents current scientific knowledge
  - Reviews current energy code and Federal Trade Commission's "R-value Rule" requirements
  - Provides detailed guidance for appropriate airspace R-value characterization and limitations of use.



Evaluation of Reflective and Non-Reflective Airspaces for Energy Code and FTC R-value Rule Compliance

ABTG Research Report No. 1601-02

Conducted for the Foam Sheathing Committee (FSC) of the American Chemistry Council

#### Report Written by:

Applied Building Technology Group, LLC appliedbuildingtech.com

Final Report: September 19, 2016 Updated: August 16, 2018

#### TG is an APPROVED SOURCE

This research report is based on practical scientific research (literature review, testing, analysis, etc.). This research report complies with the followations orde:

• IBC Section 104.11.1 and Section 1703.4.2 - "Research reports. Supporting data, where necessary to assist in the approval of materials or

assemblies not specifically provided for in this code, shall consist of valid research reports from approved sources."

<u>IRC Section 702</u> — \*APPROVED SOURCE: An independent person, from or corporation, approved by the building official, who is competent and experienced in the application of engineering principles to materials included by an analyses."

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### Significance

- Mischaracterized airspace R-values can result in:
  - energy code and FTC R-value Rule non-compliances
  - poor performing assemblies (less energy savings than claimed or expected)
  - diminished reputation
- False R-value claims for home insulation products are costly
  - Fines accrue by \$11,000 per occurrence under the Federal Trade Commission's (FTC) "R-value Rule"

FTC Action Leads to Court Order: Home Insulation Marketer to Pay \$350,000

Energy- and Cost-Saving Claims Were Deceptive and Unsubstantiated

FOR RELEASE

January 31, 2013





- Airspace R-value is affected by a variety of factors
  - Items 1-6 can affect the R-value by a factor of four or more.
  - Item 7 can reduce the R-value by a factor of as much as six
- Items 4 and 7 are often ignored when making R-value claims.

- 1. Size and orientation of the airspace
- 2. Shape of surfaces forming the airspace
- 3. Reflectivity (or emissivity) of the surfaces facing the airspace
- 4. For reflective airspaces, the durability and degree of fouling of the reflective surface over time.
- 5. Direction of heat flow relative to airspace orientation (i.e., seasonal change in heat flow direction)
- 6. Natural convection (movement of air within the airspace due to thermal gradients)
- 7. Mass air exchange with the airspace due to air leakage or venting of the airspace (caused by wind- and buoyancy-driven pressure differentials).



### Item 4: Aging and durability

- Sources estimate that the R-Value of reflective foils can decrease anywhere from 10-50%
- R-Value degrades due to staining, dust, or other fouling issues
- Degree of impact depends on conditions of use such as exposure to dust and condensation.





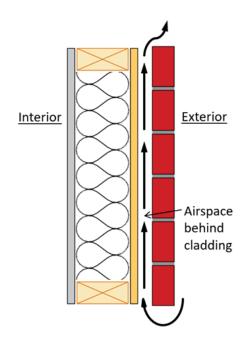
### Item 7: Mass air exchange

- This is particularly important for airspaces that are not fully enclosed and sealed to prevent air leakage as required by the ASHRAE Handbook of Fundamentals (HOF) for use of "ideal" airspace Rvalues.
- Using "ideal" airspace values for non-ideal airspaces results in inflated R-values, and does not comply with energy code and FTC R-value Rule requirements.

"b ···Values apply for ideal conditions (i.e., air spaces of uniform thickness bounded by plane, smooth, parallel surfaces with no air leakage to or from the space) ···" [p26.14 ASHRAE HOF]

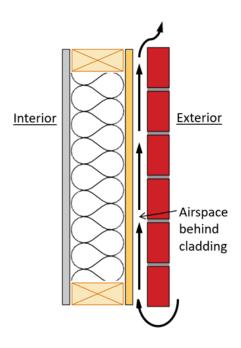


- Air-leakage is particularly problematic for airspaces located behind cladding
- Studies on actual cladding installations have benchmarked cladding airspace ventilation rates in the field
  - The results show air exchange rates approaching 400 air-changes-per-hour (ACH) or greater.



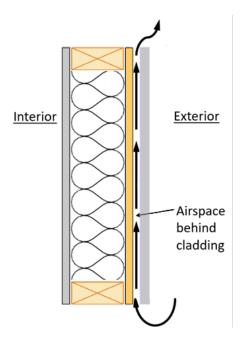


- ACH depends on a number of factors, including:
  - Depth and height of air-cavity behind cladding
  - Size and location of vent openings in cladding
  - Continuity of the vent openings (continuous or intermittent)
  - Presence of bug screens or obstructions in vent openings
  - Wind speed conditions and orientation to the building,
  - Temperature differentials (seasonally varying, also solar-driven)





- Typical cladding ACH values:
  - Ventilated stucco cladding with a ¾" air cavity: 100 to 150 ACH
  - 1.5" air cavity behind brick veneer
    - With bug screens: 2 to 3 ACH
    - Without bug screens: 20 to 30 ACH





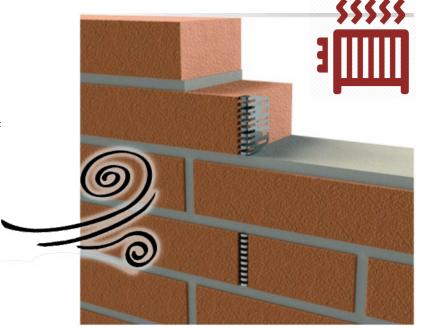
- The ACH impacts both the moisture control performance of cladding (ventilation) as well as the thermal resistance of the airspace (R-value)
- Unfortunately, improving moisture performance by increasing ventilation/ACH serves to reduce the R-value of the airspace.







- On the other hand, keeping bugs out of walls helps maximize airspace Rvalues
  - Adding bug screens obstructs air flow behind cladding, improving the R-value of a ventilated airspace





The International Energy
Conservation Code (IECC) and
International Residential Code (Ch.
11) reference the FTC R-value Rule
as the basis for insulation R-value
ratings used in commercial and
residential building construction.

C303.1.4 Insulation product rating. The thermal resistance (*R*-value) of insulation shall be determined in accordance with the U.S. Federal Trade Commission *R*-value rule (CFR Title 16, Part 460) in units of h · ft² · °F/Btu at a mean temperature of 75°F (24°C).



- The FTC R-value Rule allows two options for determining R-value of an airspace associated with a specific product:
  - Use of the ASHRAE HOF tabulated or calculated R-values for "ideal" reflective airspaces (i.e., "no air leakage to or from the airspace")
  - If the airspace does not meet the ASHRAE HOF conditions, then it must be tested in accordance with ASTM C 1363 (hot-box text) and ASTM C 1224.

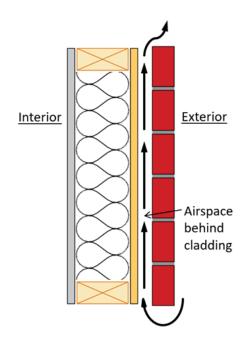
#### §460.5 R-value tests (FTC R-value Rule).

...

- (b) Single sheet systems of aluminum foil...To get the R-value for a specific emissivity level, air space, and direction of heat flow, use the <u>tables</u> in the most recent edition of the American Society of Heating, Refrigerating, and Air-Conditioning Engineers' (ASHRAE) Fundamentals Handbook, <u>if the product is intended for applications that meet</u> the conditions specified in the tables.
- (c) Aluminum foil systems with more than one sheet, and single sheet systems of aluminum foil that are intended for applications that do not meet the conditions specified in the tables in the most recent edition of the ASHRAE Fundamentals Handbook, must be tested with ASTM C 1363–97, "Standard Test Method for the Thermal Performance of Building Assemblies by Means of a Hot Box Apparatus," in a test panel constructed according to ASTM C 1224–03, "Standard Specification for Reflective Insulation for Building Applications," and under the test conditions specified in ASTM C 1224–03. To get the R-value from the results of those tests, use the formula specified in ASTM C 1224–03.
- (d) For insulation materials with foil facings, you must test the R-value of the material alone (excluding any air spaces) under the methods listed in paragraph (a) of this section. You can also determine the R-value of the material in conjunction with an air space. You can use one of two methods to do this:
  - (1) You can test the system, with its air space, under ASTM C1363-97....
  - (2) You can add up the test R-value of the material and the R-value of the air space. To get the R-value of the air space, you must follow the rules in paragraph (b) of this section.



- However, some cladding airspace Rvalues may still not be evaluated properly:
  - The airspace may not comply with conditions specified in the tables of the HOF.
  - ASTM C 1224 only addresses the use of reflective insulation products in the cavity of a wood frame wall.
  - ASTM C 1363 does not include a means to address air-flows in vented or ventilated airspaces behind cladding materials.





For commercial building energy efficiency, the 2018 IECC now offers guidance on the use of airspaces to comply with Section C401.2, and addresses the limitation of the ASTM C1363 test with regard to airspaces behind cladding materials by modifying the test to incorporate an airflow of 70 mm/second through the airspace:

#### C402.2.7 Airspaces.

Where the thermal properties of airspaces are used to comply with this code in accordance with Section C401.2, such airspaces shall be enclosed in an unventilated cavity constructed to minimize airflow into and out of the enclosed airspace. Airflow shall be deemed minimized where the enclosed airspace is located on the interior side of the continuous air barrier and is bounded on all sides by building components.

**Exception:** The thermal resistance of airspaces located on the exterior side of the continuous air barrier and adjacent to and behind the exterior wall-covering material shall be determined in accordance with ASTM C1363 modified with an airflow entering the bottom and exiting the top of the airspace at an air movement rate of not less than 70 mm/second.



 Previous versions of the IECC also reference ASHRAE 90.1 as an optional energy code compliance path for commercial buildings:

C401.2 Application. Commercial buildings shall comply with one of the following:

- The requirements of ANSI/ASHRAE/IESNA 90.1
- The requirements of Sections C402 through C405. In addition, commercial buildings shall comply with Section C406 and tenant spaces shall comply with Section C406.1.1.
- The requirements of Sections C402.5, C403.2, C404, C405.2, C405.3, C405.4, C405.6 and C407. The building energy cost shall be equal to or less than 85 percent of the standard reference design building.



- In addition to the FTC R-value Rule, buildings designed in accordance with ASHRAE 90.1-2016, must comply with its airspace requirements.
- These requirements are new in the 2016 edition of ASHRAE 90.1 (based on Addendum ac to the 2013 edition).
- Similar provisions are being considered for the 2018 edition of the IECC.

#### Addendum ac to Standard 90.1-2013

Modify Appendix A as follows, renumbering subsequent sections where applicable (I-P and SI).

A9.4 Calculation Procedures and Assumptions. The following procedures and assumptions shall be used for all calculations. R-values for air films, <u>airspaces</u>, insulation, and building materials shall be taken from Sections A9.4.1 through A9.4.34, respectively. In addition, the appropriate assumptions listed in Sections A2 through A8, including framing factors, shall be used.

[...]

A9.4.1.3 Interior surfaces are surfaces within enclosed spaces.

A9.4.1.4 The R-value for cavity airspaces shall be taken from Table A9.4A based on the emissivity of the cavity from Table A9.4B. No credit shall be given for airspaces in cavities that are less than 0.5 in. The values for 3.5 in. cavities shall be used for cavities of that width and greater.

A9.4.2 Airspaces. The R-value for airspaces shall be taken from Table A9.4.2-1 based on the effective emittance of the surfaces facing the airspace from Table A9.4.2-2 provided the following criteria are satisfied:

- a. The airspace shall be an enclosed and unventilated cavity designed to minimize airflow into and out of the enclosed air space. Airflow shall be deemed minimized when the enclosed airspace is located on the interior of the continuous air barrier and bounded on all sides by building components.
- Reflective insulation as defined in ASTM C1224, where used, shall be fitted closely around all non-heat producing components and taped or otherwise sealed to eliminate gaps or voids through which air, dust, or water vapor has the potential to pass.
- Nonparallel spaces shall use the average distance to determine the thickness of the airspace.
- d. Airspaces less than 0.5 in. (13 mm) thickness shall have no R-value.
- e. The R-value for 3.5 in. (89 mm) airspaces shall be used for airspaces of that thickness or greater provided that airspace does not exceed 12 in. (300 mm) between the surfaces at any point.

<u>For material emissivity properties not listed in Table</u> A9.4.2-2, Equation A9.4.2 shall be permitted to calculate the effective emissivity for the airspace.

 $1/e_{eff} - 1/e_1 + 1/e_2 - 1$  (A9.4.2)

where

eff = effective emittance for the airspace

 $\underline{e_1}$  =  $\underline{\text{surface 1 emittance}}$  $\underline{e_2}$  =  $\underline{\text{surface 2 emittance}}$ 

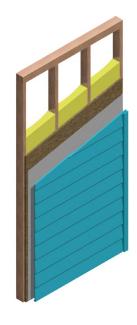


- To use tabulated ideal R-values in Section A9.4.2 of ASHRAE 90.1, airspaces must be, among other things, "enclosed in an unventilated cavity designed to minimize airflow"
  - Enclosed airspaces located to the interior of a building assembly's continuous air barrier layer and bounded on all sides are "deemed" to minimize airflow.
- Thus, airspaces behind cladding (or other leaky or vented airspaces) are excluded and R-values must be determined by testing per ASTM C1363.
  - Similar to requirements of the FTC R-value Rule in regard to use of ideal R-values from ASHRAE HOF.



- ASTM C1363 benchmark testing evaluated the R-value of a reflective airspace behind vinyl siding, as required for "non-ideal" airspaces per IECC, ASHRAE 90.1, and the FTC Rvalue Rule
- Wall assemblies were tested in a sequential "build-up" fashion to allow the air-space R-value to be isolated.

- Test assembly includes:
  - 2x4 studs
  - Cavity insulation
  - Wood structural panel sheathing
  - Reflective insulation house wrap
  - Vinyl siding





- The difference between test #1 and test #3 shows that the R-value contribution of the reflective insulation wrap, airspace, and vinyl siding is approximately R-1.3.
- This suggests that the reflective airspace and vinyl siding contributed not more than about R-0.3 given that the reflective insulation wrap itself had a nominal R-value of about R-1.0 based on the difference between test #1 and test #2.

Wall Assembly Description	Test No.	Uniform Exterior Air Velocity (ft/s)	Wall R-value (°F-ft²-hr/Btu)
OSB, R15 Batt, 2x4 studs at 16"oc, ½" GWB	1	9.0	11.82
Same as Test 1, except reflective insulation wrap included over OSB	2	9.0	12.78
Same as Test 2,	3	9.0	13.12
except vinyl siding included over	4	1.64	13.15
reflective wrap	5	18.0	13.18
Same as Test 1, except vinyl siding directly over OSB (no reflective wrap)	6	9.0	12.46
Note: Test data is reported to have a +/- 0.2	2R error band.		

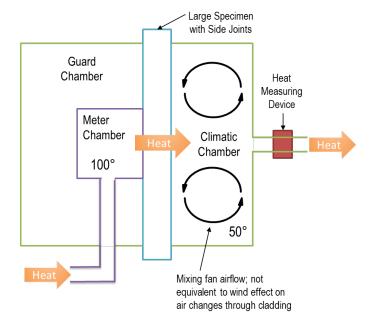


- In tests #3 through #5, variation in exterior side uniform air-flow in the hot-box chamber has little effect on the measured thermal performance of the overall wall assembly (and thus the airspace R-value).
- This finding demonstrates the true purpose of the airflow in the ASTM C1363 test···(next slide)

Wall Assembly Description	Test No.	Uniform Exterior Air Velocity (ft/s)	Wall R-value (°F-ft²-hr/Btu)		
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Same as Test 1, except vinyl siding directly over OSB (no reflective wrap)	6	9.0	12.46		



- The airflow creates a mixing effect, intended to standardize the outdoor air-film condition on the surface of the test assembly.
- It does NOT induce pressure differences or air exchange as would occur on an actual building exposed to wind.





- Based on the difference between test #1 and #6, the R-value of a nonreflective air-space and vinyl siding provides an R-value of about R-0.64.
- It appears that a reflective surface in a very leaky air space provides little to no thermal benefit in comparison to an air-space formed with nonreflective surfaces

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Same as Test 1, except vinyl siding directly over OSB (no reflective wrap)	6	9.0	12.46		



 The ASHRAE HOF (Table 1 below) reports an R-value of R-0.62 for hollow-backed vinyl siding over sheathing which is consistent with the ASTM C1363 benchmark test data.

Table 1 Building and Insulating Materials: Design Values<sup>a</sup> (Continued)

Description	Density, lb/ft <sup>3</sup>	Conductivity <sup>b</sup> k, Btu·in/h·ft <sup>2</sup> ·°F			
Hardboard siding	_	_	0.15	0.35	
Wood, drop, 8 in 1 in.	_	_	0.79	0.28	
Wood, bevel					
8 in., lapped1/2 in.	_	_	0.81	0.28	
10 in., lapped3/4 in.	_	_	1.05	0.28	
Wood, plywood, 3/8 in., lapped	_	_	0.59	0.29	
Aluminum, steel, or vinyl, h, i over sheathing				_	
hollow-backed		_	0.62	$0.29^{i}$	
insulating-board-backed		_	1.82	0.32	
foil-backed		_	2.96	_	
Architectural (soda-lime float) glass	158	6.9		0.21	



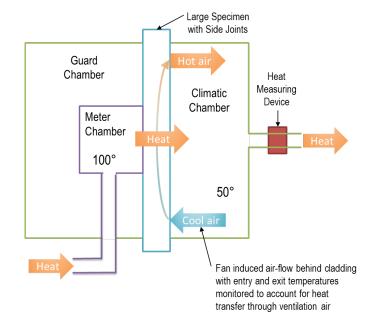
- However, HOF Table 1 also reports an R-value of R-2.96 for "foil-backed" vinyl siding, inconsistent with the test data.
  - This R-value for reflective airspaces behind vinyl siding appears to based on an inappropriate and non-compliant assumption of an "ideal" (no air leakage) airspace
  - It should be corrected and not be used for code compliance.

Table 1 Building and Insulating Materials: Design Values<sup>a</sup> (Continued)

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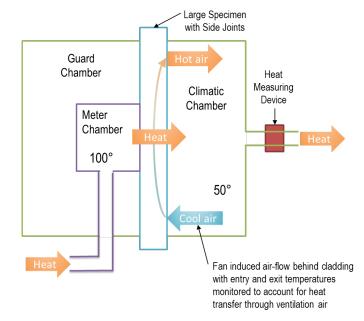


- Since ASTM C1363 does not currently account for airflow in airspaces behind cladding, test results may overstate R-value of "non-ideal" airspaces.
- However, one test project appropriately modified the setup to include a means of inducing a ventilation air-flow (7 cm/s) in the 1" airspace behind a typical brick veneer installed over semi-reflective building wrap material.





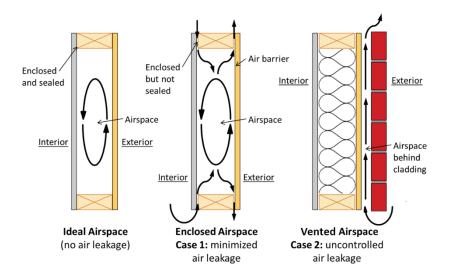
- Though the heat flow through the ventilation air-flow was not accounted for in the analysis of the test data, the data and results can be evaluated to properly account for the effect of air-flow on the actual Rvalue of this common type of "nonideal" airspace
- An ASHRAE research project is underway to investigate appropriate air-flow rates and advance this potential modification to the ASTM C1363 test method.





### Airspace Types

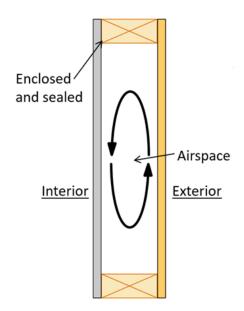
 Different airspace types require different approaches to qualify codecompliant R-values:





### Airspace Types – Ideal

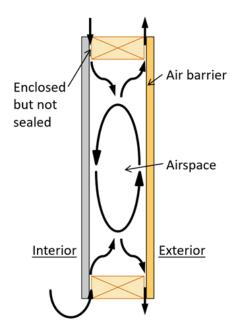
- Ideal airspaces must be sealed (no air leakage to or from the airspace)
- Must comply with ASHRAE HOF limitations in Chapter 26, Table 3, footnote 'b':
  - "…having a uniform thickness bounded by plane, smooth, parallel surfaces with no air leakage to or from the space"





### Airspace Types – Enclosed Case 1

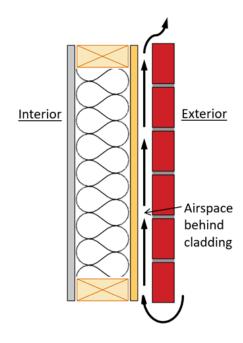
- Enclosed (Non-ideal) Case 1
   airspaces have minimized air leakage,
   which means they are:
  - Enclosed in an unventilated cavity on the interior side of the continuous air barrier
  - Bounded on all six sides by building components
  - Based on ASHRAE 90.1-2016 Section A9.4.2
    - For non-uniform thickness, use the average thickness to determine an average R-value.





### Airspace Types – Enclosed Case 2

- Enclosed (Non-ideal) Case 2
   airspaces have uncontrolled air
   leakage, which means:
  - They do not qualify as an ideal or Case 1 airspace
  - An example would be an airspace behind cladding





### Determining R-value – Ideal Airspaces

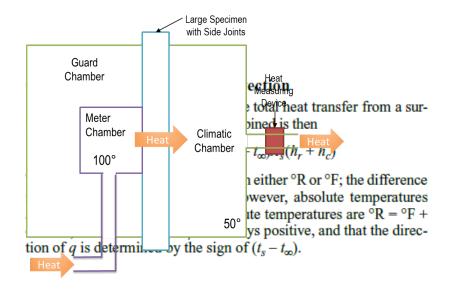
- Different methods are used to determine R-value for an ideal airspace greater or less than ½" thick
  - For an Ideal airspace  $\geq \frac{1}{2}$ " thick, use ASHRAE HOF (Ch. 26), Tables 2 and 3.
  - Unless use conditions dictate otherwise, R-values should be based on a mean temperature of 50oF and a temperature difference of 30oF, to most closely match ASTM C1224

		Air Space		Effective Emittance ε <sub>eff</sub> d,e										
Position of	Direction of	Mean	Temp.	0.5 in. Air Space <sup>c</sup>				0.75 in. Air Space <sup>c</sup>						
Air Space	<b>Heat Flow</b>	ace Heat Flow	Temp.d, °F	Diff.,d °F	0.03	0.05	0.2	0.5	0.82	0.03	0.05	0.2	0.5	0.82
		90	10	2.47	2.34	1.67	1.06	0.77	3.50	3.24	2.08	1.22	0.84	
		50	30	2.57	2.46	1.84	1.23	0.90	2.91	2.77	2.01	1.30	0.94	
		50	10	2.66	2.54	1.88	1.24	0.91	3.70	3.46	2.35	1.43	1.01	
Vertical	Horiz.	0	20	2.82	2.72	2.14	1.50	1.13	3.14	3.02	2.32	1.58	1.18	
		0	10	2.93	2.82	2.20	1.53	1.15	3.77	3.59	2.64	1.73	1.26	
		-50	20	2.90	2.82	2.35	1.76	1.39	2.90	2.83	2.36	1.77	1.39	
		-50	10	3.20	3.10	2.54	1.87	1.46	3.72	3.60	2.87	2.04	1.56	
		Air S	pace	1.5 in. Air Space <sup>c</sup>					3.5 in. Air Space <sup>c</sup>					
		90	10	3.99	3.66	2.25	1.27	0.87	3.69	3.40	2.15	1.24	0.85	
		50	30	2.58	2.46	1.84	1.23	0.90	2.67	2.55	1.89	1.25	0.91	
		50	10	3.79	3.55	2.39	1.45	1.02	3.63	3.40	2.32	1.42	1.01	
Vertical	Horiz.	0	20	2.76	2.66	2.10	1.48	1.12	2.88	2.78	2.17	1.51	1.14	
		0	10	3.51	3.35	2.51	1.67	1.23	3.49	3.33	2.50	1.67	1.23	
		-50	20	2.64	2.58	2.18	1.66	1.33	2.82	2.75	2.30	1.73	1.37	
		-50	10	3.31	3.21	2.62	1.91	1.48	3.40	3.30	2.67	1.94	1.50	



### Determining R-value – Ideal Airspaces

- For an Ideal airspace < ½" thick:</p>
  - Testing ASTM C1363, plus ASTM C1224 (if reflective).
    - For horizontal or sloped airspaces, testing shall include both upward and downward heat flow for separate Rvalues.
  - Calculation In accordance with ASHRAE HOF Ch. 4 equations for combined radiation and convective heat flow, including applicable directions of heat flow.





### Determining R-value – Case 1

- Enclosed Airspace R-value Case 1 (minimized air leakage), use one of the following:
  - Prescriptive Determine R-value per ASHRAE 90.1-2016 Section A9.4.2. Airspaces less than ½" thick shall have no R-value. The R-value for airspaces between 3.5" and 12" thick shall use 3.5" thickness as basis.
  - Testing Determine R-value per ASTM C1363 (including ASTM C1224 for reflective airspaces) for applicable directions of heat flow.

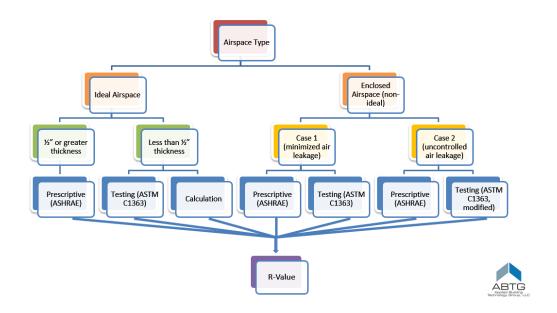


### Determining R-value - Case 2

- Enclosed Airspace R-value Case 2 (uncontrolled air leakage), use one of the following:
  - Prescriptive The R-value of the airspace and any material to the exterior side (e.g. cladding) shall be taken as zero. An exterior air film R-value may be applied.
  - Testing Determine R-value per ASTM C1363 with modification to induce ventilation air-flow (min. 7 cm/s). Analysis of the assembly or air-space R-value must account for heat flow through the ventilation air.



### Flow Chart for Airspace Type & R-value Determination





### Conclusion

- Many variables and conditions affect the R-value of airspaces.
- Actual airspace R-values can vary substantially from published values based on idealized conditions.
- Research has shown that non-ideal airspace conditions can have significant impacts on thermal performance, particularly for airspaces behind cladding materials.
- Many applications of non-ideal airspaces still errantly rely on ideal airspace assumptions to derive R-values for energy code compliance.
- The evaluation recommendations provided herein will help ensure code-compliance and more accurate assessment of airspace Rvalues.



### Suggested Resources

- Continuous Insulation Air Space R-Value
- Continuous Insulation Thermal Insulation

